

# Categorical embeddings of effect algebras

Leo Lobski  
University College London  
leo.lobski.21@ucl.ac.uk

21 March 2022  
University of East Anglia Pure Maths Seminar

# Outline

The structure of a measurement in quantum mechanics

Effect algebras

Finite Boolean algebras are dense in effect algebras

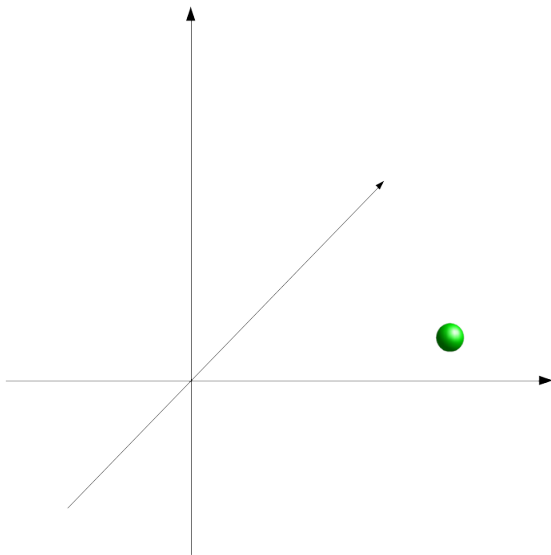
Partitions of unity

# The structure of a measurement in quantum mechanics

(theorist's perspective)

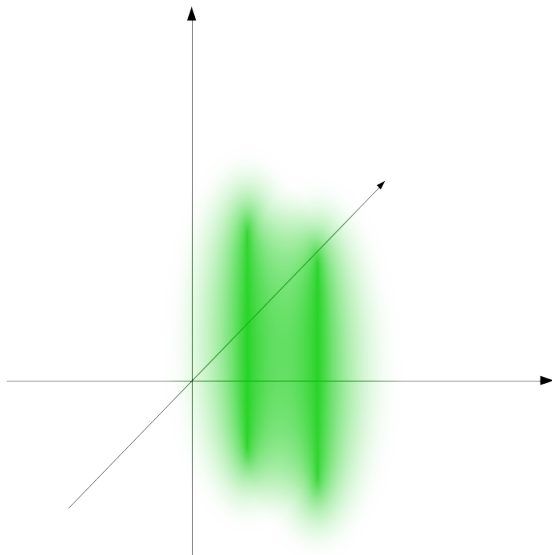
# The structure of a measurement in quantum mechanics

(theorist's perspective)



# The structure of a measurement in quantum mechanics

(theorist's perspective)



# The structure of a measurement in quantum mechanics

(theorist's perspective)

- ▶ A system is modelled by a Hilbert space

# The structure of a measurement in quantum mechanics

(theorist's perspective)

- ▶ A system is modelled by a Hilbert space
- ▶ We have access to the system via measurements only

# The structure of a measurement in quantum mechanics

(theorist's perspective)

- ▶ A system is modelled by a Hilbert space
- ▶ We have access to the system via measurements only
  - ▶ A finite *positive operator valued measure* is a finite set  $\{A_i\}_{i \in I}$  of positive semi-definite self-adjoint operators such that

$$\sum_{i \in I} A_i = I.$$



# The structure of a measurement in quantum mechanics

(theorist's perspective)

- ▶ A system is modelled by a Hilbert space
- ▶ We have access to the system via measurements only
  - ▶ A finite *positive operator valued measure* is a finite set  $\{A_i\}_{i \in I}$  of positive semi-definite self-adjoint operators such that

$$\sum_{i \in I} A_i = I.$$

- ▶ Philosophical problem: is the information contained in the measurements sufficient to know the system?

# The structure of a measurement in quantum mechanics

(theorist's perspective)

- ▶ A system is modelled by a Hilbert space
- ▶ We have access to the system via measurements only
  - ▶ A finite *positive operator valued measure* is a finite set  $\{A_i\}_{i \in I}$  of positive semi-definite self-adjoint operators such that

$$\sum_{i \in I} A_i = I.$$

- ▶ Philosophical problem: is the information contained in the measurements sufficient to know the system?
- ▶ Operational quantum mechanics: replace the Hilbert space with the set of *effects*: physical outcomes which may actually occur

# Effect algebras

## Definition

An *effect algebra* is a partial algebra  $(E, 0, 1, ', \perp, \oplus)$  such that the following hold for all  $a, b, c \in E$ :

# Effect algebras

## Definition

An *effect algebra* is a partial algebra  $(E, 0, 1, ', \perp, \oplus)$  such that the following hold for all  $a, b, c \in E$ :

(E1) if  $a \perp b$ , then  $b \perp a$  and  $a \oplus b = b \oplus a$ ,

# Effect algebras

## Definition

An *effect algebra* is a partial algebra  $(E, 0, 1, ', \perp, \oplus)$  such that the following hold for all  $a, b, c \in E$ :

(E1) if  $a \perp b$ , then  $b \perp a$  and  $a \oplus b = b \oplus a$ ,

(E2) if  $a \perp b$  and  $(a \oplus b) \perp c$ , then  $b \perp c$  and  $a \perp (b \oplus c)$  as well as

$$(a \oplus b) \oplus c = a \oplus (b \oplus c),$$

# Effect algebras

## Definition

An *effect algebra* is a partial algebra  $(E, 0, 1, ', \perp, \oplus)$  such that the following hold for all  $a, b, c \in E$ :

(E1) if  $a \perp b$ , then  $b \perp a$  and  $a \oplus b = b \oplus a$ ,

(E2) if  $a \perp b$  and  $(a \oplus b) \perp c$ , then  $b \perp c$  and  $a \perp (b \oplus c)$  as well as

$$(a \oplus b) \oplus c = a \oplus (b \oplus c),$$

(E3)  $a \perp a'$  and  $a \oplus a' = 1$ , and if  $a \perp b$  such that  $a \oplus b = 1$ , then  $b = a'$ ,

# Effect algebras

## Definition

An *effect algebra* is a partial algebra  $(E, 0, 1, ', \perp, \oplus)$  such that the following hold for all  $a, b, c \in E$ :

(E1) if  $a \perp b$ , then  $b \perp a$  and  $a \oplus b = b \oplus a$ ,

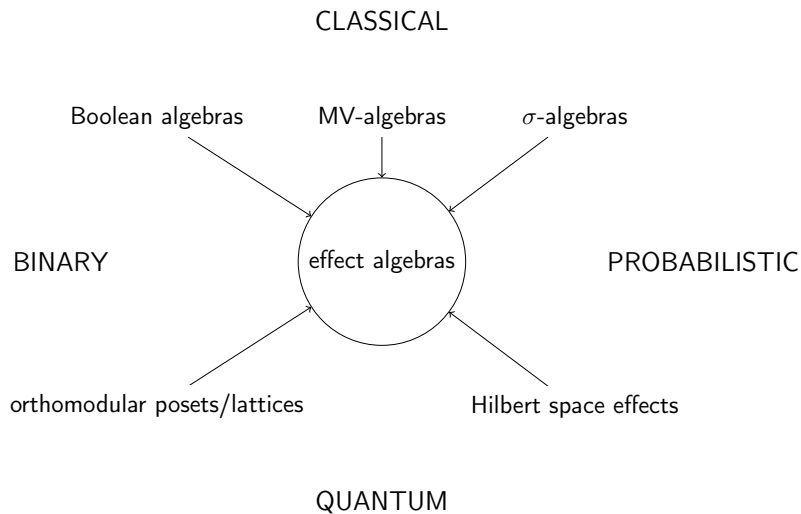
(E2) if  $a \perp b$  and  $(a \oplus b) \perp c$ , then  $b \perp c$  and  $a \perp (b \oplus c)$  as well as

$$(a \oplus b) \oplus c = a \oplus (b \oplus c),$$

(E3)  $a \perp a'$  and  $a \oplus a' = 1$ , and if  $a \perp b$  such that  $a \oplus b = 1$ , then  $b = a'$ ,

(E4) if  $a \perp 1$ , then  $a = 0$ .

# Examples





Goal for today

**FinBA** is dense in **EAlg**

## Dense subcategories

### Definition

Let  $\mathcal{A}$  be a small, full subcategory of a category  $\mathcal{C}$ .

## Dense subcategories

### Definition

Let  $\mathcal{A}$  be a small, full subcategory of a category  $\mathcal{C}$ . For an object  $C \in \mathcal{C}$ , the *canonical diagram* of  $C$  with respect to  $\mathcal{A}$  is the forgetful functor

$$D : \mathcal{A}/C \longrightarrow \mathcal{C}.$$

## Dense subcategories

### Definition

Let  $\mathcal{A}$  be a small, full subcategory of a category  $\mathcal{C}$ . For an object  $C \in \mathcal{C}$ , the *canonical diagram* of  $C$  with respect to  $\mathcal{A}$  is the forgetful functor

$$D : \mathcal{A}/C \longrightarrow \mathcal{C}.$$

We say that  $C$  is a *canonical colimit of  $\mathcal{A}$ -objects* if the canonical diagram has a colimit with vertex  $C$  and coprojections

$$D \left( A \xrightarrow{f} C \right) \xrightarrow{f} C,$$

where  $f : A \rightarrow C$  ranges through the objects of  $\mathcal{A}/C$ .

## Dense subcategories

### Definition

Let  $\mathcal{A}$  be a small, full subcategory of a category  $\mathcal{C}$ . For an object  $C \in \mathcal{C}$ , the *canonical diagram* of  $C$  with respect to  $\mathcal{A}$  is the forgetful functor

$$D : \mathcal{A}/C \longrightarrow \mathcal{C}.$$

We say that  $C$  is a *canonical colimit of  $\mathcal{A}$ -objects* if the canonical diagram has a colimit with vertex  $C$  and coprojections

$$D \left( A \xrightarrow{f} C \right) \xrightarrow{f} C,$$

where  $f : A \rightarrow C$  ranges through the objects of  $\mathcal{A}/C$ .

### Definition

A small, full subcategory  $\mathcal{A}$  of a category  $\mathcal{C}$  is *dense* if every object of  $\mathcal{C}$  is a canonical colimit of  $\mathcal{A}$ -objects.

# The nerve functor

## Definition

Let  $\mathcal{A}$  be a small, full subcategory of a category  $\mathcal{C}$ . The *nerve functor*

$$N_{\mathcal{A}} : \mathcal{C} \rightarrow [\mathcal{A}^{op}, \mathbf{Set}]$$

# The nerve functor

## Definition

Let  $\mathcal{A}$  be a small, full subcategory of a category  $\mathcal{C}$ . The *nerve functor*

$$N_{\mathcal{A}} : \mathcal{C} \rightarrow [\mathcal{A}^{op}, \mathbf{Set}]$$

is defined by restriction of the Yoneda embedding  $y : \mathcal{C} \rightarrow [\mathcal{C}^{op}, \mathbf{Set}]$ .

# The nerve functor

## Definition

Let  $\mathcal{A}$  be a small, full subcategory of a category  $\mathcal{C}$ . The *nerve functor*

$$N_{\mathcal{A}} : \mathcal{C} \rightarrow [\mathcal{A}^{op}, \mathbf{Set}]$$

is defined by restriction of the Yoneda embedding  $y : \mathcal{C} \rightarrow [\mathcal{C}^{op}, \mathbf{Set}]$ .

## Proposition

*Let  $\mathcal{A}$  be a small, full subcategory of a category  $\mathcal{C}$ . Then  $\mathcal{A}$  is dense if and only if the nerve functor  $N_{\mathcal{A}}$  is full and faithful.*



## The test functor

### Definition

Let  $E$  be an effect algebra and let  $n \in \mathbb{N}$ . An  $n$ -test is a list of elements of  $E$  of length  $n$

$$(e_1, \dots, e_n)$$

such that their sum  $\bigoplus_{i=1}^n e_i$  exists and is equal to 1.

## The test functor

### Definition

Let  $E$  be an effect algebra and let  $n \in \mathbb{N}$ . An  $n$ -test is a list of elements of  $E$  of length  $n$

$$(e_1, \dots, e_n)$$

such that their sum  $\bigoplus_{i=1}^n e_i$  exists and is equal to 1.

We use this to define a functor for each effect algebra  $E$ :

# The test functor

## Definition

Let  $E$  be an effect algebra and let  $n \in \mathbb{N}$ . An  $n$ -test is a list of elements of  $E$  of length  $n$

$$(e_1, \dots, e_n)$$

such that their sum  $\bigoplus_{i=1}^n e_i$  exists and is equal to 1.

We use this to define a functor for each effect algebra  $E$ :

$$T(E) : \mathbb{N} \rightarrow \mathbf{Set}$$

$$n \mapsto T(E)(n)$$

$$(n \xrightarrow{f} m) \mapsto (T(E)(n) \rightarrow T(E)(m))$$

$$(e_1, \dots, e_n) \mapsto \left( \bigoplus_{i \in f^{-1}(j)} e_i \right)_{j=1, \dots, m}$$

## The test functor

This further lifts to the *test functor*:

$$\begin{aligned} T : \mathbf{EAlg} &\rightarrow [\mathbb{N}, \mathbf{Set}] \\ E &\mapsto T(E) \\ (\alpha : E \rightarrow F) &\mapsto T(\alpha), \end{aligned}$$

## The test functor

This further lifts to the *test functor*:

$$\begin{aligned} T &: \mathbf{EAlg} \rightarrow [\mathbb{N}, \mathbf{Set}] \\ E &\mapsto T(E) \\ (\alpha : E \rightarrow F) &\mapsto T(\alpha), \end{aligned}$$

where  $T(\alpha) : T(E) \rightarrow T(F)$  is the natural transformation with components

$$\begin{aligned} T(\alpha)_n &: T(E)(n) \rightarrow T(F)(n) \\ (e_1, \dots, e_n) &\mapsto (\alpha(e_1), \dots, \alpha(e_n)). \end{aligned}$$

## The test functor

This further lifts to the *test functor*:

$$\begin{aligned} T &: \mathbf{EAlg} \rightarrow [\mathbb{N}, \mathbf{Set}] \\ E &\mapsto T(E) \\ (\alpha : E \rightarrow F) &\mapsto T(\alpha), \end{aligned}$$

where  $T(\alpha) : T(E) \rightarrow T(F)$  is the natural transformation with components

$$\begin{aligned} T(\alpha)_n &: T(E)(n) \rightarrow T(F)(n) \\ (e_1, \dots, e_n) &\mapsto (\alpha(e_1), \dots, \alpha(e_n)). \end{aligned}$$

Theorem (Staton and Uijlen 2015)

The test functor  $T : \mathbf{EAlg} \rightarrow [\mathbb{N}, \mathbf{Set}]$  is full and faithful.

## The test is the nerve (up to...)

We have an equivalence of categories:

$$- \circ \mathcal{P}^{op} : [\mathbf{FinBA}^{op}, \mathbf{Set}] \rightarrow [\mathbb{N}, \mathbf{Set}].$$

## The test is the nerve (up to...)

We have an equivalence of categories:

$$- \circ \mathcal{P}^{op} : [\mathbf{FinBA}^{op}, \mathbf{Set}] \rightarrow [\mathbb{N}, \mathbf{Set}].$$

### Proposition

*The test functor  $T : \mathbf{EAlg} \rightarrow [\mathbb{N}, \mathbf{Set}]$  is naturally isomorphic to the nerve functor composed with the above equivalence:*

$$N_{\mathbf{FinBA}}(-) \circ \mathcal{P}^{op} : \mathbf{EAlg} \rightarrow [\mathbb{N}, \mathbf{Set}].$$



## The test is the nerve (up to...)

We have an equivalence of categories:

$$- \circ \mathcal{P}^{op} : [\mathbf{FinBA}^{op}, \mathbf{Set}] \rightarrow [\mathbb{N}, \mathbf{Set}].$$

### Proposition

*The test functor  $T : \mathbf{EAlg} \rightarrow [\mathbb{N}, \mathbf{Set}]$  is naturally isomorphic to the nerve functor composed with the above equivalence:*

$$N_{\mathbf{FinBA}}(-) \circ \mathcal{P}^{op} : \mathbf{EAlg} \rightarrow [\mathbb{N}, \mathbf{Set}].$$

### Corollary

*The category  $\mathbf{FinBA}$  is a dense subcategory of  $\mathbf{EAlg}$ .*

## Partitions of unity

Let  $E$  be an effect algebra. A multiset  $(A, \eta)$  such that  $A \subseteq E$  is *summable* if the sum

## Partitions of unity

Let  $E$  be an effect algebra. A multiset  $(A, \eta)$  such that  $A \subseteq E$  is *summable* if the sum

$$\bigoplus_{a \in A} \eta(a) \cdot a$$

exists (if it exists it is well-defined).

## Partitions of unity

Let  $E$  be an effect algebra. A multiset  $(A, \eta)$  such that  $A \subseteq E$  is *summable* if the sum

$$\bigoplus_{a \in A} \eta(a) \cdot a$$

exists (if it exists it is well-defined).

### Definition

Let  $E$  be an effect algebra. A multiset  $(A, \eta)$  such that  $A \subseteq E$  is a *partition of unity* if it is summable,  $0 \notin A$ , and

$$\bigoplus_{a \in A} \eta(a) \cdot a = 1.$$

## Partitions of unity

- ▶  $\text{Part}(E)$  is partially ordered “by refinement”:

## Partitions of unity

- ▶  $\text{Part}(E)$  is partially ordered “by refinement”:
  - ▶  $\mathcal{P} \leq \mathcal{Q}$  if  $\mathcal{P}$  can be partitioned into  $|\mathcal{Q}|$  parts such that the sum of each such part is a unique (up to the multiplicity) element of  $\mathcal{Q}$ .

## Partitions of unity

- ▶  $\text{Part}(E)$  is partially ordered “by refinement”:
  - ▶  $\mathcal{P} \leq \mathcal{Q}$  if  $\mathcal{P}$  can be partitioned into  $|\mathcal{Q}|$  parts such that the sum of each such part is a unique (up to the multiplicity) element of  $\mathcal{Q}$ .
- ▶ Partitions of unity are in one-to-one correspondence with images of discrete positive operator valued measures (POVMs).
  - ▶ The refinement order corresponds to coarse-graining.

## The partitions of unity functor

- ▶ Partitions of unity extend to a functor  $\text{Part} : \mathbf{EAlg} \rightarrow \mathbf{Pos}$ .



## The partitions of unity functor

- ▶ Partitions of unity extend to a functor  $\text{Part} : \mathbf{EAlg} \rightarrow \mathbf{Pos}$ .

### Definition

Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor, and let  $\mathfrak{C}$  be an isomorphism-closed subclass of objects of  $\mathcal{C}$ . We say that  $F$  is *essentially injective on  $\mathfrak{C}$ -objects* if for any objects  $C, B \in \mathfrak{C}$ , having  $F(C) \simeq F(B)$  implies  $C \simeq B$ .

# The partitions of unity functor

- ▶ Partitions of unity extend to a functor  $\text{Part} : \mathbf{EAlg} \rightarrow \mathbf{Pos}$ .

## Definition

Let  $F : \mathcal{C} \rightarrow \mathcal{D}$  be a functor, and let  $\mathfrak{C}$  be an isomorphism-closed subclass of objects of  $\mathcal{C}$ . We say that  $F$  is *essentially injective on  $\mathfrak{C}$ -objects* if for any objects  $C, B \in \mathfrak{C}$ , having  $F(C) \simeq F(B)$  implies  $C \simeq B$ .

## Conjecture

*The functor*

$$\text{Part} : \mathbf{EAlg} \rightarrow \mathbf{Pos}$$

*is essentially injective on effect algebras which do not have minimal partitions of unity of cardinality 2 or less.*

## Conclusion

- ▶ Effect algebras are a natural generalisation of Boolean algebras, that give models for binary, probabilistic, classical and quantum reasoning.

## Conclusion

- ▶ Effect algebras are a natural generalisation of Boolean algebras, that give models for binary, probabilistic, classical and quantum reasoning.
- ▶ As a byproduct, we have formulated Bohr's doctrine in terms of effect algebras and category theory.

# Conclusion

- ▶ Effect algebras are a natural generalisation of Boolean algebras, that give models for binary, probabilistic, classical and quantum reasoning.
- ▶ As a byproduct, we have formulated Bohr's doctrine in terms of effect algebras and category theory.
- ▶ Open problem 1: Characterise those functors  $[\mathbb{N}, \mathbf{Set}]$  which correspond to an effect algebra.

# Conclusion

- ▶ Effect algebras are a natural generalisation of Boolean algebras, that give models for binary, probabilistic, classical and quantum reasoning.
- ▶ As a byproduct, we have formulated Bohr's doctrine in terms of effect algebras and category theory.
- ▶ Open problem 1: Characterise those functors  $[\mathbb{N}, \mathbf{Set}]$  which correspond to an effect algebra.
- ▶ Open problem 2: Show that not just tests but also partitions of unity have enough information to reconstruct an effect algebra.

## References

- ▶ Jiří Adámek and Jiří Rosický. *Locally presentable and accessible categories*. London Mathematical Society lecture note series 189, Cambridge University Press.
- ▶ Paul Busch, Marian Grabowski, and Pekka J. Lahti. *Operational Quantum Physics*. Lecture Notes in Physics. Berlin Heidelberg: Springer-Verlag, 1995.
- ▶ Anatolij Dvurečenskij and Sylvia Pulmannová. *New Trends in Quantum Structures*. Mathematics and Its Applications. Dordrecht: Kluwer Academic Publishers, 2000.
- ▶ Leo Lobski. *Quantum quirks, classical contexts: Towards a Bohrification of effect algebras*. Master of Logic Thesis (MoL) Series, MoL-2020-09. <https://eprints.illc.uva.nl/id/eprint/1762>.
- ▶ Sam Staton and Sander Uijlen. *Effect algebras, presheaves, non-locality and contextuality*. Information and Computation, volume 261, part 2. Elsevier 2018.

Thank you for your attention!